

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baker et al. ) Group Art Unit Unknown  
Appl. No. : 10 015.390 )  
Filed : December 12, 2001 )  
For : SECRETED AND )  
JUL 15 2002 TRANSMEMBRANE )  
PATENT & TRADEMARK OFFICE POLYPEPTIDES AND NUCLEIC )  
ACIDS ENCODING THE SAME )  
Examiner : Unknown )

SEQUENCE SUBMISSION STATEMENT

United States Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed May 21, 2002. I hereby state that the amendments, made in accordance with 37 C.F.R. § 1.825(a) and included in the Substitute Sequence Listing submitted herewith, are supported in the application, and that the Substitute Sequence Listing does not include new matter.

I further state that the information recorded in the currently submitted substitute copy of the computer-readable form of the Sequence Listing is identical to the paper form of the Sequence Listing submitted herewith as required in 37 C.F.R. § 1.825(b).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 9, 2002

By: Adeel S. Akhtar  
Adeel S. Akhtar  
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PATENT

Case Docket No. GNE.2830P1C53

Date: July 9, 2002

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In re application of Baker et al.  
App. No. 10 015,390  
Filed December 12, 2001  
For SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner Unknown  
Art Unit Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

July 9, 2002

(Date)

*Adeel S. Akhtar*  
Adeel S. Akhtar, 41,394

United States Patent and Trademark Office  
PO Box 2327  
Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

CLAIMS AS FILED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	20	—	20	= 0	\$18	= \$0
Independent Claims	3	—	3	= 0	\$84	= \$0
If application has been amended to contain multiple dependent claim(s), then add					\$280	= \$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0

- (X) Second Preliminary Amendment in 1 page;  
(X) Sequence Submission Statement;  
(X) Substitute Sequence Listing in 405 pages;  
(X) Substitute Sequence Listing in computer readable form;

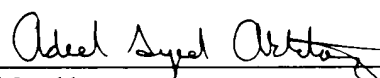
PATENT

Case Docket No. GNE.2830P1C53

Date: July 9, 2002

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- (X) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and or Amino Acid Sequence Disclosures;
- (X) Correction of address
- (X) Return prepaid postcard;
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



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Adeel S. Akhtar  
Registration No. 41,394  
Attorney of Record



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10 015,390	12 12 2001	Kevin P. Baker	P2830P1C53

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Knobbe Martens Olson & Bear  
Suite 1150  
201 California Street  
San Francisco, CA 94111



CONFIRMATION NO. 9959

## FORMALITIES LETTER



\*OC000000008150298\*

Date Mailed: 05/21/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

Y.G.-  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE